



UNIVERSITY OF  
LIVERPOOL

# Non-Supersymmetric Heterotic String Classification and Asymmetric Orbifolds

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# Overview

- ★ Plan for talk:
  - ★ Motivation
  - ★ Free fermionic models.
  - ★ Highlights of  $\mathbb{Z}_2 \times \mathbb{Z}_2$  [Faraggi [1]] classification program for  $\mathcal{N} = 1$  and  $\mathcal{N} = 0$
  - ★ Asymmetric Orbifolds from Free Fermionic Construction
  - ★ Example Cases
  - ★ Some Classification Results
  - ★ One-loop Potential and super no-scale models
  - ★ Conclusions

## Motivation: Non-SUSY

- ★ Absence of SUSY signal from LHC
- ★ Problems:
  - ★ Hierarchy Problem
  - ★ CC Problem
  - ★ Instabilities: tachyons, dilaton backreaction...
  - ★ Moduli Stabilisation
  - ★ Gauge Coupling Unification





# Motivation: Asymmetric Orbifolds

- ★ Realise non-geometric string vacua [Narain et al; Antoniadis et al; Kawai et al; Plauschinn; Groot Nibbelink et al; [2, 3, 4, 5, 6, 7]]
- ★ Pheno. features [Faraggi [8, 9, 10, 11]]
  - ★ Early realistic free fermionic models asymmetric [Faraggi et al [12, 13]]
  - ★ Fixing Geometric Moduli
  - ★ Doublet-Triplet Splitting
  - ★ Untwisted Top quark mass coupling
  - ★ Hierarchical Top-bottom quark mass splitting
  - ★ Models with vanishing one-loop CC [Kumar et al; Angelantonj, et al; Harvey; Shiu,Tye; Sugawara et al; [14, 15, 16, 17, 18, 19]]



# Free Fermion Construction I

- ★ Worldsheet CFT construction of heterotic string defined at enhanced symmetry point in moduli space [Antoniadis et al; Kawai et al [3, 4, 5]]
- ★  $D = 10 \implies$  introduction of free fermions on worldsheet

$$\left\{ \underbrace{\psi^{\mu=1,2}, \chi^{i=1,\dots,6}}_{\substack{\text{S'partners of } X^\mu \\ (\chi's \text{ compact in 4D})}} \parallel \underbrace{\bar{\psi}^{1,2,3,4,5}, \bar{\eta}^{1,2,3}}_{\substack{\text{rank 8} \\ \text{Observable G. G.}}}, \underbrace{\bar{\phi}^{1,2,3,4,5,6,7,8}}_{\substack{\text{rank 8} \\ \text{Hidden G. G.}}} \right\} \quad (1)$$

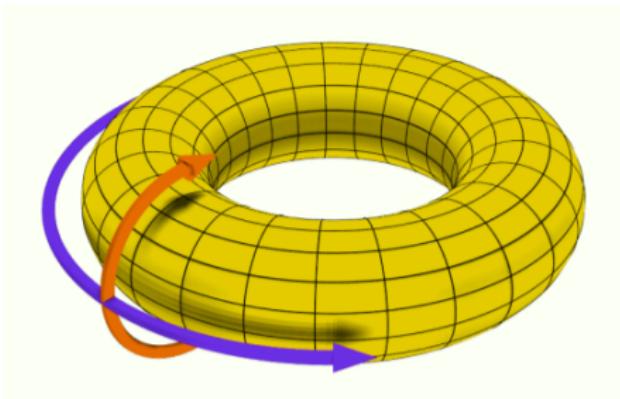
- ★ Reduction to  $D = 4 \implies$  introduction of

$$\{y^i, w^i || \bar{y}^i, \bar{w}^i\}, \quad i = 1, \dots, 6 \quad (2)$$

$\longleftrightarrow T^6$  fermionised coordinates:  $i\partial X_L^i = y^i w^i.$



## Free Fermion Construction II



- ★ PF:  $Z_f \sim \sum_{\alpha, \beta} C_{\beta}^{[\alpha]} Z_{\beta}^{[\alpha]}$
- ★ Model defined by:
  - ★ Basis vectors  $\mathcal{B} \ni v_i = \{\alpha(f_1), \alpha(f_2), \dots, \alpha(f_n)\}$
  - ★ GGSO phases:  $C_{v_j}^{[v_i]}$ .



## Symmetric $\mathcal{N} = 1$ $\mathbb{Z}_2 \times \mathbb{Z}_2$ Classification Highlights

$SO(10)$  [Faraggi, Rizos, Nooij [20]]:

Spinor-Vector duality:  $\#(\mathbf{16} + \overline{\mathbf{16}}) \leftrightarrow \#\mathbf{10}$  [Faraggi, Rizos, Kounnas et al [21, 22]]

$SO(6) \times SO(4)$ : [Assel et al [23]]

Exophobic viable vacua

$SU(5) \times U(1)$ : [Faraggi et al [24]]

No exophobic vacua for odd generations

$SU(3) \times SU(2) \times U(1)^2$  &  $SU(3) \times SU(2)^2 \times U(1)$ : [Faraggi et al [25, 26, 27]]

- ★ Viable vacua scarce  $\sim \mathcal{O}(10^{-11}) \rightarrow SO(10)$  ‘Fertility Conditions’



## Symmetric $\mathcal{N} = 0$ $\mathbb{Z}_2 \times \mathbb{Z}_2$ Classification Highlights

- ★ SAT/SMT Solvers aid in classification [Faraggi, Percival et al [28, 29]]
- ★ CC Distributions, misaligned SUSY [Dienes; Angelantonj et al; Cribiori et al; [30, 31, 32, 33]] and 'super no-scale' models [Kounnas, Partouche; Coudarchet et al [34, 35, 36]]

### SO(16) $\times$ SO(16)-Derived: [Dixon, Harvey; Seiberg, Witten; Alvarez-Gaumé et al [37, 38, 39]]

- ★ Gravitino projected by GGSO phase [Ashfaque et al; Abel et al; Faraggi, et al [40, 41, 42]]
- ★ Scherk-Schwarz can be implemented

### Tachyonic-Derived: [Faraggi, Matyas, Percival [42, 43, 44, 45]]

- ★ Tachyon-free in 4D
- ★ Explicit SUSY breaking
- ★ No intermediate GUT Higgs except  $SU(3) \times SU(2) \times U(1)^2$



# Towards Asymmetric Classification: the NAHE Set

[Faraggi, Nanopoulos [46]]

- ★ To ensure there's an R and NS sector for M.I.

$$\mathbb{1} = \{\text{all Ramond}\} \quad (3)$$

- ★ SUSY generator ( $\mathcal{N} = 4$ )

$$S = \{\psi^\mu, \chi^{1,\dots,6}\} \quad (4)$$

- ★  $\mathbb{Z}_2 \times \mathbb{Z}_2$  twists s.t.  $\mathcal{N} = 4 \rightarrow 1$

$$\begin{aligned} b_1 &= \{\psi^\mu, \chi^{12}, y^{34}, y^{56} \mid \bar{y}^{34}, \bar{y}^{56}, \bar{\psi}^{1,\dots,5}, \bar{\eta}^1\}, \\ b_2 &= \{\psi^\mu, \chi^{34}, y^{12}, w^{56} \mid \bar{y}^{12}, \bar{w}^{56}, \bar{\psi}^{1,\dots,5}, \bar{\eta}^2\}, \\ b_3 &= \{\psi^\mu, \chi^{56}, w^{12}, w^{34} \mid \bar{w}^{12}, \bar{w}^{34}, \bar{\psi}^{1,\dots,5}, \bar{\eta}^3\}. \end{aligned} \quad (5)$$

- ★ Untwisted G.G.  $SO(10) \times SO(6)^3 \times SO(16)$



## Geometric Moduli

- ★ NAHE Set moduli space

$$\left( \frac{SO(2, 2)}{SO(2) \times SO(2)} \right)^3 \quad (6)$$

$(T^1, U^1), (T^2, U^2), (T^3, U^3)$

- ★ Marginal operators generating Abelian Thirring Interactions [Chang, Kumar [47]]

$$J_L^i(z) \bar{J}_R^j(\bar{z}) =: y^i w^i :: \bar{y}^j \bar{w}^j := \begin{cases} (i, j = 1, 2) \\ (i, j = 3, 4) \\ (i, j = 5, 6) \end{cases} \quad (7)$$

- ★ → Asymmetric BCs of  $\{y^i, w^i || \bar{y}^i \bar{w}^i\}$  can project moduli! [Faraggi [8]]



# $SU(5) \times U(1)$ and Asymmetric Pairings

[Based on work: Faraggi, Matyas, Percival 2202.04507 [29]]

- ★ Extra basis vector imposes asymmetric BCs and breaks to  $SU(5) \times U(1)$  [Antoniadis et al; Nanopoulos et al; [48, 49]]

$$\begin{aligned} \gamma = A(\{\textcolor{teal}{y}^{123456}, w^{123456} \parallel \bar{y}^{123456}, \bar{w}^{123456}\}) + \\ \{\bar{\psi}^{1,\dots,5} = \bar{\eta}^{1,2,3} = \frac{1}{2} = \bar{\phi}^{\dots}\} \end{aligned} \tag{8}$$

- ★ 6 Symmetric shift vectors

$$e_{i=1,\dots,6} = \{\textcolor{teal}{y}^i, w^i \parallel \bar{y}^i, \bar{w}^i\} \tag{9}$$

- ★ Symmetric  $\mathbb{Z}_2 \times \mathbb{Z}_2$ :  $e_i$ 's lift degeneracy:

$3 \times 16$  sectors  $\longleftrightarrow$  48 fixed points



## Example Cases

Case 1:  $(T^1, U^1), (T^2, U^2), (T^3, U^3)$

- ★  $A = \{\bar{y}^{36}, \bar{y}^2 \bar{w}^5, \bar{w}^{14}\}, e_i$
- ★ Notable model of this type [Faraggi, Matyas, Percival [44]]
- ★ Discussed for Narain orbifolds in, e.g., [Groot Nibbelink, Vaudrevange [7]]

Case 2:  $(T^1, U^1), (T^2, U^2), (T^3, U^3)$ - all retained

- ★  $A = \{y^{36}, y^1 w^6, w^{13}\}, \mathcal{B} \ni e_2, e_4, e_5$

Case 3:  $(T^1, U^1), (T^2, U^2), (T^3, U^3)$

- ★  $A = \{\bar{w}^{34}, \bar{w}^{56}\}, \mathcal{B} \ni e_1, e_2$

# Asymmetric Pairings Classification



Untwisted Moduli in each Torus	Odd Number Generations Possible	Frequency
(2, 2, 0)	No	992
(2, 0, 2)	No	992
(0, 2, 2)	No	992
(4, 2, 2)	No	824
(2, 4, 2)	No	824
(2, 2, 4)	No	824
(0, 0, 0)	No	256
(4, 0, 0)	No	244
(0, 4, 0)	No	244
(0, 0, 4)	No	244
(4, 4, 0)	No	200
(4, 2, 2)	Yes	200
(4, 0, 4)	No	200
(2, 4, 2)	Yes	200
(2, 2, 4)	Yes	200
(0, 4, 4)	No	200
(4, 4, 4)	No	146
(4, 4, 4)	Yes	94
(4, 4, 0)	Yes	56
(4, 0, 4)	Yes	56
(0, 4, 4)	Yes	56
(2, 2, 0)	Yes	32
(2, 0, 2)	Yes	32
(0, 2, 2)	Yes	32
(4, 0, 0)	Yes	12
(0, 4, 0)	Yes	12
(0, 0, 4)	Yes	12



## Results Case 2

	Total models in sample: $10^9$					
	SUSY or Non-SUSY:	$\mathcal{N} = 1$	Probability	$\mathcal{N} = 0$	Probability	
	Total	15624051	$1.56 \times 10^{-2}$	984375949	0.984	
(1)	+ Tachyon-Free			30779240	$3.08 \times 10^{-2}$	
(2)	+ No Observable Enhancements	15135704	$1.51 \times 10^{-2}$	28581301	$2.86 \times 10^{-2}$	
(3)	+ Complete Generations	15135704	$1.51 \times 10^{-2}$	28581301	$2.86 \times 10^{-2}$	
(4)	+ Three Generations	89930	$8.99 \times 10^{-5}$	195716	$1.96 \times 10^{-4}$	
(5)	+ Heavy Higgs	89820	$8.98 \times 10^{-5}$	129233	$1.29 \times 10^{-4}$	
(7)	+ TQMC	89820	$8.98 \times 10^{-5}$	129233	$1.29 \times 10^{-4}$	
(8)	+ $a_{00} = N_b^0 - N_f^0 = 0$			388	$3.88 \times 10^{-7}$	

Figure: Results from scan of  $10^9$  GGSO configurations for phenomenological characteristics allowing for both  $\mathcal{N} = 1$  and  $\mathcal{N} = 0$  vacua



## Results Case 2: CC Distribution

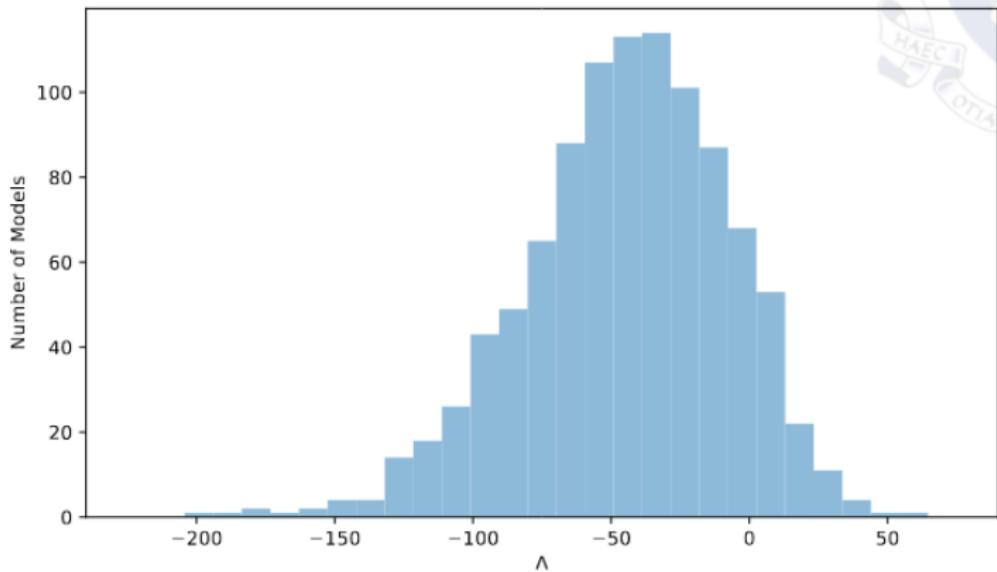


Figure: Distribution of one-loop CC (at FF point) for sample of  $10^3$  satisfying constraints (1)-(7)



## Results Case 3: No 3 Generation Models

Retaining only ( $T^1$ ,  $U^1$ ) contradicts  $3 \text{SU}(5) \times U(1)$  generations

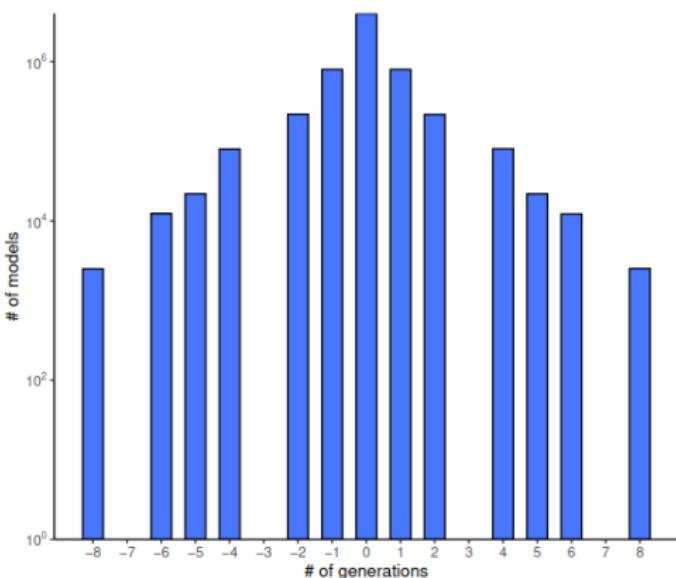


Figure: Distribution of generation number for sample of  $10^7$  Case 3 vacua



## Partition Function

- ★ PF takes schematic form

$$Z_f = \sum_{\text{spin structs.}} (-1)^{\Xi(\dots)} \left( \prod_{f = \psi^\mu, \chi^{12}, \chi^{34}, \chi^{56}} \theta_f \right) \times \left( \prod_{\bar{f} = \bar{\psi}^{1,\dots,5}, \bar{\eta}^{123}, \bar{\phi}^{1,\dots,8}} \bar{\theta}_{\bar{f}} \right) Z_{y^i, w^i, \bar{y}^i, \bar{w}^i}^{\Gamma_{6,6}} \quad (10)$$

- ★ Away from FF point, e.g. Case 3:  $\Gamma_{6,6} \rightarrow \Gamma_{6,6}(T^1, U^1)$



## One-Loop Potential Case 3

- ★ Can realise Scherk-Schwarz in first torus via  $\sim (-1)^F \delta_1$  [Florakis, Rizos; Abel et al

[50, 51, 52, 41]]

$$m_{3/2} \sim \frac{|\mathcal{U}^1|}{\sqrt{\Im(\mathcal{T}^1)\Im(\mathcal{U}^1)}} \sim \frac{1}{R_1} \quad (11)$$

so  $R_1 \rightarrow \infty \implies m_{3/2} \rightarrow 0$

- ★ Since  $(\cancel{\mathcal{T}^2}, \cancel{\mathcal{U}^2}), (\cancel{\mathcal{T}^3}, \cancel{\mathcal{U}^3})$

$$V_{1-loop}(\mathcal{T}^1, \mathcal{U}^1) \sim \int \frac{d^2\tau}{\tau_2^3} Z(\tau, \bar{\tau}, \mathcal{T}^1, \mathcal{U}^1) \quad (12)$$

- ★ Super No-Scale models: exponentially suppressed CC [Itoyama, Taylor; Antoniadis  
[53, 54]] (necessary but not sufficient [Florakis, Rizos [52]])

$$\Lambda \propto (N_b^0 - N_f^0) \frac{1}{R^4} + \mathcal{O}(e^{-\alpha R^2}), \quad (13)$$



## Conclusions

- ★ Systematic framework with geometric moduli fixing for phenomenological models
- ★ No-go results for constraints, e.g. absence of 3 generations, with help from SMT Solver
- ★ Can investigate one-loop potential for super no-scale models with constrained moduli.

[The Irregularities of Discernment - Manalo])



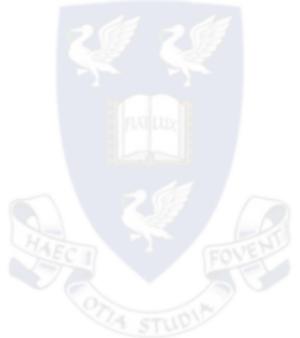
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